

# Module 5: Metric spaces III

## Operational math bootcamp



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# Last time

Looked at open and closed sets.

Discussed sequences, which includes Cauchy sequences and subsequences:

- Convergent sequence:  $x_n \rightarrow x \Leftrightarrow \forall \epsilon > 0 \exists n_\epsilon \in \mathbb{N}$  s.t.  $d(x_n, x) < \epsilon$  for all  $n \geq n_\epsilon$
- Cauchy sequence:  $\forall \epsilon > 0 \exists n_\epsilon \in \mathbb{N}$  s.t.  $d(x_n, x_m) < \epsilon$  for all  $n, m \geq n_\epsilon$
- Proved that a convergent sequence is Cauchy
- Discussed complete metric spaces, where all Cauchy sequences converge (like  $\mathbb{R}$  with the usual metric, absolute value)
- Proved that a sequence converges to  $x$  if and only if all subsequences converge to  $x$

# Outline for today

- Continuity
- Equivalent metrics
- Density and separability

# Continuity

## Definition

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces, let  $x_0 \in X$ , and let  $f : X \rightarrow Y$ .  $f$  is *continuous* at  $x_0$  if for every sequence  $(x_n)_{n \in \mathbb{N}}$  in  $X$  that converges to  $x_0$ , we have  $\lim_{n \rightarrow \infty} f(x_n) = f(x_0)$ .

We say that  $f$  is continuous if it is continuous at every point in  $X$ .

## Theorem

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces, let  $x_0 \in X$ , and let  $f : X \rightarrow Y$ . The following are equivalent:

- (i)  $f$  is continuous at  $x_0$
- (ii) for all  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $d_Y(f(x), f(x_0)) < \epsilon$  for all  $x \in X$  with  $d_X(x, x_0) < \delta$
- (iii) for each  $\epsilon > 0$ , there is  $\delta > 0$  such that  $B_\delta(x_0) \subseteq f^{-1}(B_\epsilon(f(x_0)))$

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(ii) for all  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $d_Y(f(x), f(x_0)) < \epsilon$  for all  $x \in X$  with  $d_X(x, x_0) < \delta$

(iii) for each  $\epsilon > 0$ , there is  $\delta > 0$  such that  $B_\delta(x_0) \subseteq f^{-1}(B_\epsilon(f(x_0)))$

*Proof.* (i)  $\Rightarrow$  (ii)

(ii)  $\Rightarrow$  (iii)

(iii)  $\Rightarrow$  (i)

## Corollary

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces and let  $f : X \rightarrow Y$ . The following are equivalent:

- (i)  $f$  is continuous
- (ii) if  $U \subseteq Y$  is open, then  $f^{-1}(U)$  is open
- (iii) if  $F \subseteq Y$  is closed, then  $f^{-1}(F)$  is closed



We need the following results about sets and functions:

Let  $X$  and  $Y$  be sets and  $f : X \rightarrow Y$ . Let  $A, B \subseteq Y$ . Then

$$\textcircled{1} \quad A \subseteq B \implies f^{-1}(A) \subseteq f^{-1}(B)$$

$$\textcircled{2} \quad f^{-1}(Y \setminus A) = X \setminus f^{-1}(A)$$

*Proof.* Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces and let  $f : X \rightarrow Y$ .

(i)  $\Rightarrow$  (ii):

(ii)  $\Rightarrow$  (i)

(ii)  $\Rightarrow$  (iii)

(iii)  $\Rightarrow$  (ii)

## Definition

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces and let  $f : X \rightarrow Y$ .

- $f$  is *uniformly continuous* if for all  $\epsilon > 0$ , there exists  $\delta > 0$  such that for every  $x_1, x_2 \in X$  with  $d_X(x_1, x_2) < \delta$ , we have  $d_Y(f(x_1), f(x_2)) < \epsilon$
- $f$  is *Lipschitz continuous* if there exists a  $K > 0$  such that for every  $x_1, x_2 \in X$  we have  $d_Y(f(x_1), f(x_2)) \leq Kd_X(x_1, x_2)$

## Proposition

Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces and let  $f : X \rightarrow Y$ .

$f$  is Lipschitz continuous  $\Rightarrow$   $f$  is uniformly continuous  $\Rightarrow$   $f$  is continuous

Proof is one of your exercises.

# Contraction Mapping Theorem

## Definition

Let  $(X, d)$  be a metric space and let  $f : X \rightarrow X$ . We say that  $x^* \in X$  is a *fixed point* of  $f$  if  $f(x^*) = x^*$ .

## Definition

Let  $(X, d)$  be a metric space and let  $f : X \rightarrow X$ .  $f$  is a *contraction* if there exists a constant  $k \in [0, 1)$  such that for all  $x, y \in X$ ,  $d(f(x), f(y)) \leq kd(x, y)$ .

Observe that a function is a contraction if and only if it is Lipschitz continuous with constant  $K < 1$ .

## Theorem (Contraction Mapping Theorem)

*Suppose that  $f : X \rightarrow X$  is a contraction and the metric space  $X$  is complete. Then  $f$  has a unique fixed point  $x^*$ .*

## Example

Let  $f : \left[-\frac{1}{3}, \frac{1}{3}\right] \rightarrow \left[-\frac{1}{3}, \frac{1}{3}\right]$  be defined by the mapping  $x \mapsto x^2$ . Assume we use the standard Euclidean metric,  $d(x, y) = |x - y|$ .  $f$  has a unique fixed point because

# Equivalent metrics

## Definition (Equivalent metrics)

Two metrics  $d_1$  and  $d_2$  on a set  $X$  are *equivalent* if the identity maps from  $(X, d_1)$  to  $(X, d_2)$  and from  $(X, d_2)$  to  $(X, d_1)$  are continuous.

## Proposition

Two metrics  $d_1, d_2$  on a set  $X$  are equivalent if and only if they have the same open sets or the same closed sets.

## Definition

Two metrics  $d_1$  and  $d_2$  on a set  $X$  are *strongly equivalent* if for every  $x, y \in X$ , there exists constants  $\alpha > 0$  and  $\beta > 0$  such

$$\alpha d_1(x, y) \leq d_2(x, y) \leq \beta d_1(x, y).$$

If two metrics are strongly equivalent then they are equivalent. The proof of this is one of the exercises.



## Example

We show that the Euclidean distance (induced by 2-norm) and the metric induced by the  $\infty$ -norm are equivalent on  $\mathbb{R}^n$ .

Can you think of an example that we've seen of a metric that isn't equivalent to the Euclidean metric?

# Density

## Definition

Let  $(X, d)$  be a metric space. A subset  $A \subseteq X$  is called *dense* if  $\bar{A} = X$ .

Why are dense sets important?

## Examples

1.  $(\mathbb{R}, |\cdot|)$

2. Let  $X$  be a set and define  $d: X \times X \rightarrow \mathbb{R}$  by

$$d(x, y) = \begin{cases} 0, & x = y, \\ 1, & x \neq y. \end{cases}$$

## Definition

A metric space  $(X, d)$  is *separable* if it contains a countable dense subset.

**Example:**

## Example

Define  $l_\infty = \{(x_n)_{n \in \mathbb{N}} : x_n \in \mathbb{R}, \sup_{n \in \mathbb{N}} |x_n| < \infty\}$ , the space of bounded real valued sequences. Endow  $l_\infty$  with a metric induced by the supremum norm, namely  $d((x_n)_{n \in \mathbb{N}}, (y_n)_{n \in \mathbb{N}}) = \sup_{n \in \mathbb{N}} |x_n - y_n|$ . Then  $l_\infty$  is **not separable** with respect to the topology induced by this metric.

Note: this proof relies on content from the cardinality section. Specifically, Cantor's theorem gives us that  $|A| < |\mathcal{P}(A)|$  for any set  $A$ .

*Proof.*

*Proof continued.*

# References

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